

CAIRNGORMS NATIONAL PARK AUTHORITY

FOR DECISION

Title: INTERNAL AUDIT REVIEW: REGULATORY COMPLIANCE

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Purpose

This paper presents the internal auditor's review of the Authority's various arrangements to ensure compliance with various regulations and changing legal requirements, conducted as part of the agreed 2013/14 internal audit programme.

Recommendations

The Committee is asked to:

- a) Consider the internal auditor's report on the Authority's arrangements for regulatory compliance; and
- b) Endorse the management responses to the recommendations for action raised by the internal auditor.

Executive Summary

The Authority's internal auditors, KPMG, have completed their review of the Authority's arrangements for ensuring regulatory compliance, undertaken as part of the agreed 2013/14 internal audit plan. The full report is set out at Annex I to this paper.

The review helps consider in some detail the following strategic risks set out in the Authority's approved risk register:

- a) Legal challenges to policies or changes in legislation (risk 10).

The review also helps consider the Authority's linkage and contribution to the National Performance Framework Outcome 16 Our public services are high quality, continually improving, efficient and responsive to local people's needs.

The report highlights 4 recommendations for improvement action, one of which is graded as a high priority, with 2 moderate and 1 low priority recommendation. The high priority recommendation relates to a lack of regular scheduling of health and safety audits and the time delay since the last health and safety audit which took place in May 2012. We have accepted this recommendation and identified that a health and safety audit of the Authority's policies and practices will be undertaken as a matter of urgency.

Other recommendations are also accepted and management responses given to these in the attached detailed report from the internal auditors.

David Cameron

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