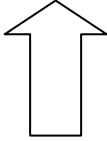
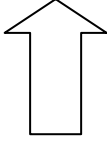
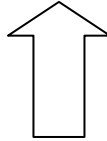
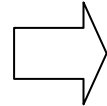
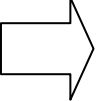
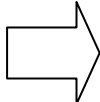
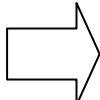
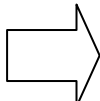
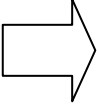
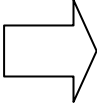
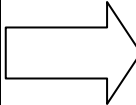
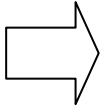
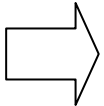
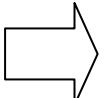
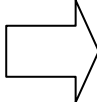
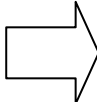
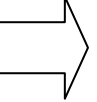



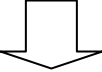
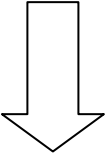
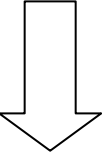
Trend	Risk	Lead	Progress Update / Action Taken
	<p>Increasing levels of administration and audit act as a barrier to applicants seeking funding for projects, particularly where these funds are provided in part by the EU.</p>	<p>DC</p>	<p>[Added by Audit Committee December 2012.] The level of administration and audit scrutiny for EU funded activities, for example LEADER grants, is definitely increasing with a perception of an absolutely risk averse culture. CNPA processes continue to seek to streamline application and claim processes for applicants as far as possible, however ongoing push audit led controls is increasingly limiting the ability to simplify processes.</p>
	<p>Planning services are not perceived to be sufficiently responsive to development proposals where appropriate, and hence Authority is not perceived to be responsive to current national economic climate. Reputational risk.</p>	<p>MF</p>	<p>[Added by Audit Committee December 2012.] Work ongoing in development and delivery of planning service improvement plans and also in dialogue with key stakeholder groups to assess way forward.</p>
	<p>Relatively small scale of organisation makes delivery vulnerable to losing staff and does not give scope for sufficient spare capacity to cover staff losses or long-term absences</p>	<p>DC / MT</p>	<p>Monitoring turnover/retention statistics. Work with LLT NPA in past to provide absence cover in key corporate ideas. This risk escalating – controls put in place over recruitment as part of forward budget management will result in a down-sizing of staff structures and staff resource. Management must be very aware of potential service impacts and make reductions in lower priority activities. [DC Jul 10] DC Mar 2011: full review of Corporate Plan strategic objectives completed in light of reduced staff resources.</p>
	<p>Our partners do not contribute the financial resources or support required to deliver the National Park Plan outcomes.</p>	<p>HT</p>	<p>Mid-term review carried out with partners to agree mutual priorities and focus for remaining two years of NPP. Remains a real risk as budget cuts take hold – requires ongoing engagement from MT members and other staff to keep in touch with partners’ funding positions.</p>

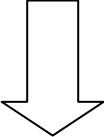
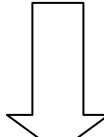
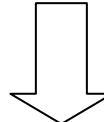
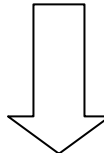
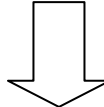
Trend	Risk	Lead	Progress Update / Action Taken
	Partners do not commit to deliver their elements of National Park Plan.	HT	Mid-term review to agree with partners their priorities in NPP delivery for the next two years has reduced risk. Primary risk now probably financial as above. Mar 2010: Stakeholder analysis being undertaken to target ongoing dialogue with partners.
	Our partners do not demonstrate leadership behaviours in relation to the NP so that we collectively achieve the Park Plan Vision.	HT	2009 Strategy Group meeting and mid-term review reinforced expectation on partners. An increasing risk as time goes on and partners assume that they can step back as CNPA established – and as budgets reduce, a risk of partner focus shifting to central belt priorities. Key opportunity to use the Ministerial Strategy Group to reinforce expectation on partners to lead in relevant areas.
	Flagship planning and development cases do not deliver the high standards expected leading to loss in public confidence.	MF	We are currently reviewing all aspects of our development management process to ensure both the soundness and quality of decisions. Internal Audit is carrying out some work which will feed into this. We are progressing the Local Plan and Supplementary Guidance (especially Design Guide) as quickly as possible. This will give a more robust context for decisions. Mar 2010: Using MT to maintain overview of highest profile cases.
	The Authority's limited cash and staff resources are too stretched by 46 differing achievements and consequently we fail to deliver a substantial number of achievements by March 2011.	JH / DC	MT planning day on 19 Nov to address priorities and organisational structure, as well as consequences of potential budget reductions. Paper to Board on mid-term health check on NP Plan. DC Mar 2010: reviewing current Corporate Plan to identify any completed achievements and priorities to Mar 2012. Aim to provide paper to Board in May / June 2010. DC Mar 2011: review of potential to deliver Corporate Plan in light of reduced finance and staff resources completed by MT. Exercise to estimate staff time requirements of Operational Plan

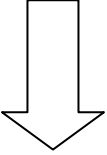
Trend	Risk	Lead	Progress Update / Action Taken
	<p>Reduction in EU funding for future programmes.</p>	<p>DC</p>	<p>2011/12 also completed.</p> <p>Remains a very real risk, both in terms of reducing availability of funds from EU and also lack of match funding from potential partners. Movement to work through a Charitable Trust structure for access appears to be successful in opening up routes to funding not previously open to the CNPA as an NDPB – consider as a model for other areas of activity. Investing staff time in HIPP decision-making structures in order to maintain awareness of policy developments and seek to influence investment in CNP where possible and appropriate to do so. DC Mar 2011: considerable success in accessing EU and Lottery funding for the NP through COAT – charitable Trust mechanism. Extension of this model under consideration. Audit Cttee Sep 11: LEADER model has also been very successful in securing inward investment into the NP.</p>
	<p>CNPA does not achieve the sought after national profile for the park.</p>	<p>FvB</p>	<p>The CNPA communications team is implementing a number of measures (that will build on and develop its current work) that will raise its profile both locally and nationally and highlight NPs importance and contribution to Scotland</p> <ul style="list-style-type: none"> • A campaign-led communication strategy which will focus on key messages, events and other activities (including working with national and specialist media) during the year; • Working with LLTTNP to identify opportunities to raise the profile of NPs and their contribution/importance to Scotland • Working with UK National Parks and SEARS partners to identify opportunities to celebrate and promote CNP at a national level <p>This will work alongside the current web, media and events activities</p>

Trend	Risk	Lead	Progress Update / Action Taken
			undertaken by the comms team.
	Lack of public understanding of CNPA objectives.	FvB	See comments in risk 8 (above). Review format of annual report and NPP progress report. Ongoing work on Parklife, press releases and e-bulletin to communicate objectives. Review of Coms Team structure and move towards Web Officer and more inter-active web communication methods. Providing support to staff and board that will allow them to interact with key audiences regarding the CNPA's objectives and achievements in these areas.
	The Park's special qualities may be undermined due to Government pressure to encourage development.	HT	The aims of the Park and the National Park Plan (which reflects the special qualities) will be at the heart of the local plan and supplementary guidance which in turn will be used as the basis for determining applications for planning permission. Structures are therefore in place to address this risk.
	Failing to exploit IT functionality.	DC	Development strategy for IT services being drawn up to build on move to SNH network, once this implemented by end Spring 2010. DC Mar 2011: IT strategy to be incorporated into wider organisational development strategy. Production of this has been delayed as a result of competing demands on time – target June 2011. DC Jun 11: Joint NPA project now in place to improve robustness of IT services and to develop and implement long-term IT infrastructure plans.
	The rural economy outwith tourism business continues to deteriorate. [Amendment by Audit Committee Apr 10]	MF	CNP priorities in this context clearly expressed through mid-term review. Investment and support being given to business community through CBP to build their capacity and resilience. Economic Baseline

Trend	Risk	Lead	Progress Update / Action Taken
			Study and Business Barometer provide basis for further analysis of where intervention/support may be required – to be addressed explicitly through NPP and LDP development.
	Dissatisfaction with and legal challenge to, planning decisions.	MF	All procedures being reviewed and local plan will be adopted late 2010. The soundness of decisions will be further enhanced and less likely to be challenged. (Note: this development management oriented risk separate to that around impact of Local Plan processes).
	Major external initiatives or enquiries dilute resource availability and have adverse effect on delivery of goals.	MT	Ongoing MT and HR review of resource allocation. Ongoing monitoring of Corporate Plan delivery to help assess whether any adverse inputs on delivery.
	We are unable to show change over the course of the Park Plan period due to inadequate monitoring/data provision which undermines confidence in its delivery.	HT	Being tackled in some areas, notably through Economic Baseline Study. Forthcoming Sustainable Tourism Strategy redevelopment will also contribute. A set of indicators for the state of the Park has been identified and will be used to report on change in the State of the Park Report 2011 [HT Mat 10]. Audit Cttee Sep 11: Production of annual NPP monitoring reports helping with mitigation of this risk.
	Externally driven changes, for example results of legal or other challenges, have a significant adverse impact on delivery of corporate objectives.	JH / HT	Audit Cttee Sep 2011: this risk split away from risk ref 19 regarding impacts of planning decisions. The processes and potential impacts of challenge to the local plan, and any other similar, significant challenges, must be closely monitored both to evaluate potential impacts on delivery of corporate objectives directly, and also indirectly through required shifts of resource allocation.
	Funding uncertainties in the wider public sector environment and as a result of necessary internal	DC	Added by DC and agreed by Audit Cttee Sep 11: process in lead up to spending review has potential to unsettle and distract staff from

Trend	Risk	Lead	Progress Update / Action Taken
	corporate planning processes impact on delivery of objectives as a result of an unsettled staff group.		organisational priorities, as does discussion around development of new Corporate Planning structures. Clear internal communications and maintaining as short a timetable for development of plans as possible key to mitigating risk.
	Realignment of the public sector leads to inertia in the bodies required to deliver the Park Plan.	HT	No significant change emerging at present. Minor realignments in SNH and SEPA having some impact in delaying implementation of more effective planning arrangements but not a significant effect.
	We do not secure the buy in of land managers to deliver a range of outcomes within the National Park Plan	HT	Ongoing communications with land managers developing. Establishment of Farmers Forum connects with a key group with which we needed more contact. Revised Land Management Forum in 2010 will be another mechanism to secure ongoing buy-in and reduce risk. [Audit Cttee Aug 10: need to monitor this risk closely given change in Board membership and resulting potential changes in linkages / relationships with this community of interest.] Audit Cttee Sep 11: noted success of Land Management Forum mechanism in helping mitigate this risk.
	Incorporation of Highland Perthshire into the Park slows or complicates delivery due to further consultation on strategies or policies in that area.	MF	Ongoing programme of liaison with Perth and Kinross Council. Encouraging communities to engage with SG led consultation exercises. Priority work is on boundary markers with Board paper in January. Strategic work is already being adopted to take account of planned expansion of Park. 2011: Focus now on ensuring full integration of new area of NP within service and communication strategies.
	Financial resources are not made available by the	DC	Draft 2010/11 budget appears broadly in line with expectation. Financial

Trend	Risk	Lead	Progress Update / Action Taken
	Government because of their changing priorities leading to an inability to deliver the Corporate Plan to March 2012.		modelling developed to manage future uncertainty. MT meeting Nov 09 to focus on long-term priorities. DC Mar 2010: Budget now agreed by Board with Op Plan investment in line with 2009/10 levels. Monitoring level of commitments closely and maintaining review of developments in public sector funding more generally. [Audit Cttee Aug 10: significant escalation in likelihood of risk given current public sector financial environment.] DC Mar 2011: reduction in grant for 2011/12 managed through action taken to reduce running cost commitments, including staff levels. Corporate Plan delivery remains broadly on track.
	Managers and staff do not place sufficient regard on the Corporate Plan and the 46 achievements when planning resource deployment, and consequently we fail to deliver a substantial number of achievements by March 2012.	JH / DC	To be addressed through annual Operational planning round, and papers to Board, including regular reports on NP Plan delivery (3 x year). Also continue to be addressed through appraisal processes [DC Mar 10]. DC Mar 2011: staff resource deployment exercise implemented for 2011/12 Operational Plan. Wider project management tools / system requirements to be explored.
	Lack of project management skills.	DC	Significant previous training in this area will continue to be followed up by HR review of training requirements from appraisals.
	Tourism businesses do not engage with the concept of the National Park in a meaningful and effective way [added by Audit Cttee Apr 09]	MF	Being addressed primarily through support of the CBP and work packages including 'Park Aware'. Redevelopment of the Sustainable Tourism Strategy in 2010 is a key opportunity to build this engagement and reduce the risk.
	Split nature of tourism business representation across the CNP results in lack of coordination in activity / development. [Audit Committee Apr 10]	MF	Board level group with oversight of interaction with tourism groupings. [Audit Committee Apr 10]

Trend	Risk	Lead	Progress Update / Action Taken
	Changes to Board size and composition has an adverse impact on organisational momentum. [Added by Audit Committee, Dec 09.]	JH	Mar 2010: Board induction process being developed for October 2010. Board agendas and forward Corporate Plans also being drawn up to seek to ensure forward momentum is maintained. MT Mar 11: First stage Board induction and training implemented. Informal discussion sessions and briefings held. Further work timetabled. DC Sep 11: Joint Audit Committee member training in place for 3 Oct 2011. JH: review of Board processes and working arrangements planned for Oct 2011.