



Annex 2

Outstanding recommendations and proposed action

Audit		Grading	Recommendation			Action taken	Action planned
			Not started	In progress	Risk accepted		
Grant Funding & Management	2016/17 - 1	2			Risk accepted	Mitigation actions allow us to accept this risk. These include: -launch of project initiation guidance, -signposting of applicants to advice at an early stage in the application process, -the consolidation of grant offers through a grant management team.	
Business Continuity Planning	2018/19 - 5	2	Develop BCP and embed processes and procedures.				Engage consultancy
FOISA and EIR Requests	2019/20 - 6	1		Update of publication scheme		Happening in parallel with web development	
Assurance Mapping of Major Projects	2021/22 - 1.1	2		Implement a new project management approach to delivery		Project management and reporting system for C2030 Project initiation guidance	New operational plan format supports tracking of significant activity across all funding streams. OMG terms of reference refocussed to include management of operational plan delivery and tracking significant projects on risk assessment basis.
Peatland Action Programme Set Up	2021/22 - 2.1	4		Risk management associated with the full-service approach and mitigating controls		Development of engagement letter prior to commencement of project activity (with legal advisors)	Possible transfer to strategic risk register, or clarify that risk of exposure to unexpected legal liabilities includes peatland work.



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ICT Strategy	2021/22 - 1.1, 2.1, 3.1	2 & 3		New IT and Data Strategy (including financial strategy)		Consultancy under procurement	Engage consultancy
				Annual operational plans and reporting			IT strategy will inform operational plan for 2025/26
Cyber Security	2021/22 - 1.1 & 3.1	2		Documentation and testing of procedures for handling cyber security events		Cyber health check through SG pilot project (phase 2)	Phase 3 of the project
				Risk assessment & gap analysis of current technology, policy and business environment, to identify the key cyber security risks			
Data management	2022/23 - 1.1	3		Review the current policy suite that is in place and develop and implement policies that address			
Performance Management	2022/23 - 1.1	2		Develop a dashboard to report corporate performance		Presentation of Corporate Plan performance to board established the dashboard approach to be taken forward	To be further developed prior to presentation to board in September 25.
Health & Safety	2023/24 - 1.1	3		Regular review and update of Health & Safety policies		Review underway	
Risk management	2023/24 - 1.2	3		Implement operational risk registers		Risk registers are now in place for IT, Comms and C2030	Action for OMG
Procurement	2023/24 - 1.1, 1.2, 2.1, 2.2, 3.1, 3.2, 4.1	3 & 4		Recommendations transferred to the Procurement Action Plan		Strategy in place. Procurement Officer in place. Mentoring provided for Procurement Officer. Guidance notes drafted for wider staff group	Training for staff Contract management approach Reporting suite
Financial & Operational Planning	2024/25 - 1.1, 2.1	2		Ongoing scenario planning			
				Update process documentation - define the roles and responsibilities of those involved, provide timelines for completion and set out documentation requirements			